

10-18-04

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CF/002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Stuart A. Fraser et al.  
Application No. : 09/553,423 Confirmation No. : 3987  
Filed : April 19, 2000  
For : SYSTEMS AND METHODS FOR TRADING  
Group Art Unit : 3628  
Examiner : Harish T. Dass

New York, New York  
October 15, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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GROUP 3600

EXPRESS MAIL CERTIFICATION

"Express Mail" Mailing Label No. EV132191043US

Date of Deposit October 15, 2004

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Claire J. Saintil-van Goodman

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [X] a Reply to Office Action;  
and [X] Limited Recognition Under 37 CFR §10.9(b) to be filed  
in the above-identified patent application.

10/20/2004 SSESHE1 00000134 09553423

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FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

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The additional fee has been calculated as shown below:

	Claims Remaining After Amendment	Highest Number Previously Paid for	Present Extra	Rate	Fees
TOTAL CLAIMS	40	- 40*	= 0	x \$18	= \$ 0.00
INDEPENDENT CLAIMS	13	- 15**	= 0	x \$88	= \$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+\$300	= \$ 0.00
* If less than 20, insert 20.				TOTAL	\$ <u>0.00</u>

\*\* If less than 3, insert 3.

☐ A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge \$ \_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

☒ The following extension is applicable to the Response filed herewith; ☒ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$430.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ 980.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1530.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); \$2080.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

☒ A check in the amount of ☒ \$110.00; ☐ \$430.00; ☐ \$980.00; ☐ \$1530.00; ☐ \$2080.00 in payment of the extension fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge the ☐ \$110.00; ☐ \$430.00; ☐ \$980.00; ☐ \$1530.00; ☐ \$2080.00; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



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